

AUG 08 2003

RCE/1600  
#38  
18/21/03Express Mailing Label No.: EU733928485US  
Date of Deposit: August 6, 2003

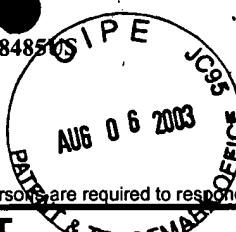
Attorney Docket No.: 18444-502

PTO/SB/30 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.



**REQUEST  
FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
Provides for continued examination of an utility or plant application  
filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

<b>Application Number</b>	08/719,571
<b>Filing Date</b>	September 25, 1996
<b>First Named Inventor</b>	David J. Anderson et al.
<b>Group Art Unit</b>	1641
<b>Examiner Name</b>	James Leslie Grun
<b>Attorney Docket Number</b>	18444-502

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

**1. Submission required under 377 C.F.R. § 1.114**

- a.  Previously submitted
  - i.  Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_.  
(Any unentered amendment(s) referred to above will be entered).
  - ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.
  - iii.  A verified statement claiming small entity status is on file in the prior application and such status is still proper and desired (37 C.F.R. § 1.28(a)).
- b.  Enclosed
  - i.  Preliminary Amendment and Remarks to Accompany Request for Continued Examination (RCE)
  - ii.  Affidavit(s)/Declaration(s)
  - iii.  Information Disclosure Statement (IDS)
  - iv.  Other Petition for Five-Month Extension of Time.

**2. Miscellaneous**

- a.  Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b.  Other \_\_\_\_\_.

**3. Fees** The RCE fee under C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0311, Reference No. 18444-502, Customer No.: 35437.
  - i.  RCE fee required under 37 C.F.R. § 1.17(e)
  - ii.  Extension of time fee for five-month (37 C.F.R. §§ 1.136 and 1.17).
- b.  Check in the amount of \$375.00 is enclosed for RCE fee.
  - Check in the amount of \$985.00 is enclosed for extension fee.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Eric Sinn	Registration No. (Attorney/Agent)	40,177
Signature			
Date	August 6, 2003		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

08/11/2003 AWDNDAF1 00000110 08719571

01 FC:2801

375.00 0P

NYC 268650v1

Express Mail Label No.: EU733928485US  
Date of Deposit: August 6, 2003

Attorney Docket No.: 18444-502

#29  
8/21/03  
RECEIVED  
AUG 12 2003  
TECH CENTER 1600/2900



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : David J. Anderson, *et al.*  
ASSIGNEE : CALIFORNIA INSTITUTE OF TECHNOLOGY  
SERIAL NUMBER 08/719,571 EXAMINER : James Leslie Grun  
FILING DATE : September 25, 1996 ART UNIT : 1641  
FOR : METHODS AND COMPOSITIONS FOR NEURAL PROGENITOR CELLS

New York, New York  
August 6, 2003

**Mail Stop RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY AMENDMENT AND REMARKS TO  
ACCOMPANY REQUEST FOR CONTINUED EXAMINATION (RCE)**

Dear Sir:

Applicant's have requested a five month extension, up to and including August 6, 2003, to file the Notice of Appeal in this Application. The extension fee of \$985.00 is enclosed. The Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 18444-502, Customer No. 35437.

Amendment to the claims are reflected in the listing of claims which begins on page 2.

Remarks/Arguments begin on page 5 of this paper.